

**AMENDMENT TRANSMITTAL LETTER (Small Entity)**Applicant(s): **Ranganathan et al.**

Docket No.

**KBI-0004**

Serial No.

**09/855,346**

Filing Date

**May 15, 2001**

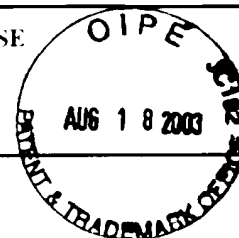
Examiner

**Ruth A. Davis**

Group Art Unit

**1651**Invention: **PREBIOTIC AND PROBIOTIC COMPOSITIONS AND METHODS FOR THEIR USE  
IN GUT-BASED THERAPIES**

Corres. and Mail

**BOX AF**TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	3 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1619**
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

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Dated: **August 18, 2003**

Jane Massey Licata  
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- 1) Amendment Transmittal Letter (Small Entity) (2 copies);
- 2) Reply under 37 C.F.R. § 1.116; and
- 3) Return Post Card.

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JANE MASSEY LICATA



RESPONSE UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 1651

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: KBI-0004

Inventors: Ranganathan et al.

Serial No.: 09/855,346

Filing Date: May 15, 2001

Examiner: Ruth A. Davis

Group Art Unit: 1651

Title: Prebiotic and Probiotic Compositions and  
Methods for Their Use in Gut-Based  
Therapies

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By Jane Massey Licata  
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REPLY UNDER 37 C.F.R. § 1.116

This is in response to the Office Action mailed July 23, 2003  
setting a three (3) month statutory period for reply. Please enter  
the following amendments and remarks into the record.

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Attorney Docket No.: KBI-0004  
Inventors: Ranganathan et al.  
Serial No.: 09/855,346  
Filing Date: May 15, 2001  
Page 2

**Amendments to the claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin at page 5.